

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Timothy M. Keiser et al. Group Art Unit : 3628
Application No. : 10/655,409 Confirmation No. : 6575
Filed : September 4, 2003
Title : COMPUTER-IMPLEMENTED SECURITIES TRADING
SYSTEM WITH A VIRTUAL SPECIALIST FUNCTION
Examiner : Clement B. GRAHAM

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

REPLY TO OFFICE ACTION

Sir:

This Reply is being submitted in response to the final Office Action
mailed on September 7, 2006.

Remarks begin on page 2 of this paper.